

# Worst-Case Study of Local Search for MAX- $k$ -SAT

Edward A. Hirsch<sup>1</sup>

*Steklov Institute of Mathematics at St.Petersburg, 27 Fontanka, 191011  
St.Petersburg, Russia*

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## Abstract

During the past three years there was a considerable growth in the number of algorithms solving MAX-SAT and MAX-2-SAT in worst-case time of the order  $c^K$ , where  $c < 2$  is a constant, and  $K$  is the *number of clauses* of the input formula. However, similar bounds w.r.t. the *number of variables* instead of the number of clauses are not known.

Also, it was proved that approximate solutions for these problems (even beyond inapproximability ratios) can be obtained faster than exact solutions. However, the corresponding exponents still depended on the *number of clauses* of the input formula. In this paper, we give a randomized  $(1 - \epsilon)$ -approximation algorithm for MAX- $k$ -SAT whose worst-case time bound depends on the *number of variables*.

Our algorithm and its analysis are based on Schöning's proof of the best current worst-case time bound for  $k$ -SAT [40]. Similarly to Schöning's algorithm (which is also very close to Papadimitriou's algorithm [36] and the experimentally successful WalkSAT family by Selman et al. [41,31]), our algorithm makes random walks of polynomial length. We prove that the probability of error in each walk is at most  $1 - c_{k,\epsilon}^{-N}$ , where  $N$  is the number of variables, and  $c_{k,\epsilon} < 2$  is a constant depending on  $k$  and  $\epsilon$ . Therefore, making  $\lceil -\ln \rho \rceil \cdot c_{k,\epsilon}^N$  such walks gives the probability of error bounded from above by any predefined constant  $\rho > 0$ .

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## 1 Introduction

The maximum satisfiability problem (*MAX-SAT*) is one of the most important  $\mathcal{MAXSNP}$ -complete optimization problems since many practical optimiza-

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<sup>1</sup> Web: <http://logic.pdmi.ras.ru/~hirsch>. Email: [hirsch@pdmi.ras.ru](mailto:hirsch@pdmi.ras.ru). Work is partially supported by grants from INTAS (YSF 99-4044), RFBR, and RAS (6th contest-expertise, grant #1, 1999).

tion problems have natural formulations in terms of MAX-SAT (see references in [4]). During the past decade, this problem has been attacked both by designing heuristic algorithms showing good performance in computational experiments [5,19,29,39] and by proving various theoretical results.

Theoretical results related to MAX-SAT mostly concentrate in two directions: polynomial-time approximation algorithms and exponential-time exact algorithms. We start with basic definitions, brief description of known results both in this field and in the closely related field of SAT algorithms, and then describe our results.

**Basic definitions.** We consider formulas in conjunctive normal form (*CNF*) represented as multisets of clauses. Every clause of a formula in *k*-*CNF* is an *i*-clause for  $i \leq k$ . An *i*-clause consists of exactly *i* literals (a literal is a Boolean variable or the negation of a Boolean variable). General CNF is just *n*-CNF, where *n* is the number of variables.

The satisfiability (*SAT*) problem is to find a truth assignment that satisfies all clauses of the input formula *F* in CNF. The *k*-SAT problem is a subproblem of SAT in which the input is restricted to *k*-CNF.

The maximum satisfiability (*MAX-SAT*) problem is to find a truth assignment that satisfies the maximum possible number  $\text{OptVal}(F)$  of clauses of the (possibly unsatisfiable) input formula *F* in CNF (an *optimal assignment*). The MAX-*k*-SAT problem is a subproblem of MAX-SAT in which the input is restricted to *k*-CNF.

An  $\alpha$ -*approximation algorithm* for MAX-SAT (or MAX-*k*-SAT) is an algorithm that for every input formula *F* finds an assignment satisfying at least  $\alpha \cdot \text{OptVal}(F)$  clauses of *F*. In this paper, we suppose  $\alpha \leq 1$  to be a constant, where  $\alpha = 1$  corresponds to an exact algorithm.

**Worst-Case Time Bounds for SAT.** SAT can be easily solved in time of the order<sup>2</sup>  $2^N$ , where *N* is the number of variables in the input formula. In the early 1980s, this trivial bound was improved for formulas in 3-CNF to  $c^N$ , where  $c < 2$  is a constant [7,32,33]. After that, many upper bounds for SAT and its  $\mathcal{NP}$ -complete subproblems were obtained ([9,10,23,38,40] are the most recent). Most authors consider bounds w.r.t. three main parameters: the *length* *L* of the input formula (i.e., the number of literal occurrences), the *number* *K* of its clauses, and the *number* *N* of the variables occurring in it.

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<sup>2</sup> Here and in what follows, we write all bounds up to a polynomial factor  $\text{poly}(|F|)$ , where  $|F|$  denotes the size of the bit representation of the input. For example,  $2^N$  is in fact  $\text{poly}(|F|) 2^N$ , etc.

The algorithms corresponding to the best known bounds w.r.t.  $K$  and w.r.t.  $L$  (these bounds are  $1.239^K$  and  $1.074^L$  [23]) are designed for SAT (and not only for  $k$ -SAT). However, nothing better than  $2^N$  is known for SAT w.r.t. the number of variables. Such bounds are known only for  $k$ -SAT (the best known bounds for 3-SAT are  $(4/3)^N$  for randomized algorithms [40] and  $1.481^N$  for deterministic algorithms [9,10]).

**Worst-Case Time Bounds for the Exact Solution of MAX-SAT.** In the past three years, there was a significant progress in proving worst-case time bounds for the MAX-SAT problem. The research [3,8,16,17,21,22,30,35] concentrated on MAX-SAT and MAX-2-SAT, both of these problems are  $\mathcal{NP}$ -complete. The best known bounds are:

- $1.342^K$  and  $1.106^L$  for MAX-SAT [3],
- $2^{K/5}$  and  $2^{L/10}$  for MAX-2-SAT [16].

No non-trivial upper bounds w.r.t. the number of variables are known for MAX-SAT or MAX-2-SAT.

**Approximation Algorithms for MAX-SAT.** There are polynomial-time  $\alpha$ -approximation algorithms for MAX-SAT and MAX- $k$ -SAT [2,13,28], for example, a  $7/8$ -approximation algorithm for MAX-3-SAT [28,45]. On the other hand, for each of the MAX- $k$ -SAT/MAX-SAT problems there is an  $\alpha_0$  (*inapproximability ratio*) such that polynomial-time  $(\alpha_0 + \delta)$ -approximation algorithms ( $\delta > 0$ ) do not exist unless  $\mathcal{P} = \mathcal{NP}$  (see, e.g., [1,20]). In particular, for MAX-3-SAT the inapproximability ratio is  $7/8$ , and for MAX-2-SAT the best known inapproximability ratio is  $0.955$  [20]. (Although, given an almost-satisfiable formula in 2-CNF, it is possible to construct an almost-optimal assignment in polynomial time [44]).

Dantsin et al. [8] explain how to construct  $(\alpha_0 + \delta)$ -approximation algorithms that are faster than the algorithms for the exact solution of MAX- $k$ -SAT (or MAX-SAT). Their construction uses the Davis-Putnam-Logemann-Loveland procedure [11,12] to obtain a guaranteed number  $\delta K$  of satisfied clauses, and then uses a polynomial-time  $\alpha_0$ -approximation algorithm. However, the exponential-time bounds obtained in this way are w.r.t. the number of clauses (and not w.r.t. the number of variables), for example, for MAX-3-SAT there is a  $(7/8 + \delta)$ -approximation algorithm running in  $2^{8\delta K}$  time.

**Local Search Algorithms and Our Results.** SAT and MAX-SAT algorithms have been extensively studied experimentally (see [4,18,27] for surveys on the subject). Both complete and incomplete algorithms are studied

in this field. The incomplete algorithms mainly use local search (see, e.g., [14,15,19,25,29,39,41]). Theoretical study of worst-case upper bounds for such algorithms was very limited [24,36]. Recently, Schönig [40] proved the upper bound  $(2 - 2/k)^N$  on the worst-case running time of a randomized local search algorithm for  $k$ -SAT. The algorithm he used is close to Papadimitriou's 2-SAT algorithm [36]; this random walk procedure is also an important component of some of the empirically best performing SAT algorithms, including the WalkSAT family [41,31,26].

Although Schönig's algorithm performs in practice substantially worse than WalkSAT or even state-of-the-art complete SAT algorithms (e.g., such as `rel_sat` [6] or `zchaff` [34,43]), it is the only local search algorithm for which a good worst-case upper bound is proved. In this paper, we suggest a modification of this algorithm that finds a  $(1-\epsilon)$ -approximate solution for MAX-SAT for arbitrary  $\epsilon > 0$ . We do not expect that the obtained algorithm would be practical. However, this is the first algorithm for MAX-SAT approximation beyond inapproximability ratio that has a "less-than- $2^N$ " worst-case upper bound, where  $N$  is the *number of variables* (note that all previous algorithms had worst-case time bounds with the exponent depending on the number of clauses or the length of the input formula).

The algorithm of Papadimitriou and Schönig picks an initial assignment  $A$  at random and then performs a local search: at each step, it chooses (using an arbitrary deterministic heuristic<sup>3</sup>) a clause unsatisfied by the current assignment  $A$ , picks a variable from this clause at random, and changes the value of this variable in  $A$ . Clearly, at each step the assignment  $A$  is getting closer to some satisfying assignment with probability at least  $1/k$  (since at least one variable of the chosen unsatisfied clause has different values in  $A$  and in the satisfying assignment).

Unfortunately, this trick does not work for MAX- $k$ -SAT: a MAX- $k$ -SAT instance may contain many clauses that are unsatisfied even by an optimal assignment. Therefore, an (arbitrary) deterministic choice of an unsatisfied clause is not suitable here. Instead, we use an algorithm which, similarly to WalkSAT [41], picks an unsatisfied clause at random and prove that this algorithm is able find an  $(1 - \epsilon)$ -approximate solution of MAX- $k$ -SAT in the worst-case time  $c_{k,\epsilon}^N$ , where  $c_{k,\epsilon} < 2$  is a constant depending on  $k$  and  $\epsilon$ .

In fact, to prove this result, it suffices to use even a simpler analysis than Schönig's one (the same applies to the derandomization of Schönig's algorithm [9,10]). However, by using Schönig's construction, we can obtain a better constant  $c_{k,\epsilon}$ .

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<sup>3</sup> It is not important for Schönig's and Papadimitriou's algorithms *how* to choose this clause, thus it is not specified in [36,40]. In contrast, WalkSAT [41] chooses an unsatisfied clause at random.

**Organization of the Paper.** In Sect. 2 we present our main result. Section 3 contains generalizations and improvements. In Sect. 4 we summarize our results, describe directions for further work, and pose open questions.

## 2 Main Result

In this section, we describe a *randomized*  $(1 - \epsilon)$ -approximation algorithm for MAX- $k$ -SAT (for arbitrary constant  $\epsilon > 0$ ). This algorithm returns an assignment satisfying at least  $(1 - \epsilon) \cdot \text{OptVal}(F)$  clauses with probability at least  $1 - \frac{1}{e}$  (where  $e = 2.71828 \dots$ ), and with the remaining probability returns an assignment satisfying less clauses. Clearly, repeating such an algorithm  $\lceil -\ln \rho \rceil$  times (and selecting the assignment satisfying the largest number of clauses) gives an assignment satisfying at least  $(1 - \epsilon) \cdot \text{OptVal}(F)$  clauses with probability at least  $1 - \rho$  (for any predefined  $\rho > 0$ ).

Our algorithm and its analysis are very close to the ones presented by Schöning [40] for  $k$ -SAT. Starting from a random initial assignment, we perform a local search. The local search procedure iteratively chooses an unsatisfied clause and changes the value of one of its variables. Schöning's proof uses the fact that for  $k$ -SAT this procedure has a constant probability of coming closer to a satisfying assignment because

the value of at least one variable from an unsatisfied clause is  
different in the current (not satisfying) assignment and in an           (1)  
optimal (satisfying) assignment.

For MAX- $k$ -SAT this is not guaranteed because even an optimal (maybe not satisfying!) assignment can make many clauses false. However, if the current assignment satisfies substantially less clauses than an optimal assignment, then for a significant portion of unsatisfied clauses statement (1) holds. Therefore, for such a current assignment a random choice of an unsatisfied clause gives a constant probability of going in the “right” direction.

In this section, we give the simplest form of our algorithm and prove an upper bound on its worst-case running time. In the next section, we describe how to improve the obtained exponent (using Schöning's arguments and other constructions) and how to generalize our result.

### Algorithm 1

**Input:** A formula  $F$  in  $k$ -CNF with  $N$  variables.

**Output:** A  $(1 - \epsilon)$ -approximation solution of the MAX-SAT problem for  $F$ .

**Method:**

- (1) Repeat  $\lceil (2 - \frac{2\epsilon}{k+\epsilon+k\epsilon})^N \rceil$  times the following steps:
  - (a) Pick an assignment  $A$  at random.
  - (b) Repeat  $N - 1$  times the following step:
    - (i) If  $A$  satisfies every clause of  $F$ , then return  $A$ . Otherwise pick an unsatisfied clause of  $F$  at random, pick a variable from this clause at random, and change its value in  $A$ .
- (2) Among the  $N \cdot \lceil (2 - \frac{2\epsilon}{k+\epsilon+k\epsilon})^N \rceil$  assignments considered by this algorithm, choose an assignment satisfying the greatest number of clauses of  $F$ , and return this assignment.

□

**Theorem 1** Algorithm 1 returns an assignment satisfying at least  $(1 - \epsilon) \cdot \text{OptVal}(F)$  clauses of the input formula  $F$  with probability at least  $1 - \frac{1}{e}$ , where  $e = 2.71828\dots$ . Its worst-case running time is  $\text{poly}(|F|) \cdot c_{k,\epsilon}^N$ , where  $c_{k,\epsilon} = 2 - \frac{2\epsilon}{k+\epsilon+k\epsilon} < 2$ , and  $|F|$  denotes the size of the bit representation of the input formula  $F$ .

**PROOF.** Consider an (optimal) assignment  $S$  satisfying  $m = \text{OptVal}(F)$  clauses of  $F$ . We call an assignment *admissible* if it satisfies at least  $(1 - \epsilon)m$  clauses of  $F$ .

Let  $K$  be the total number of clauses in  $F$ . If at some moment of time the current assignment  $A$  satisfies at least  $(1 - \epsilon)m$  clauses, then we are done. Otherwise,  $A$  does not satisfy  $u > K - (1 - \epsilon)m$  clauses of  $F$ , among them there are at least  $u - (K - m)$  clauses satisfied by  $S$ . Therefore, the algorithm changes the value of a variable that has different values in  $A$  and  $S$  with probability at least

$$p_{k,\epsilon} = \frac{u - (K - m)}{ku} = \frac{1}{k} - \frac{K - m}{ku} \geq \frac{1}{k} - \frac{K - m}{k(K - (1 - \epsilon)m)} = \frac{\epsilon m}{k(K - (1 - \epsilon)m)} \geq \frac{\epsilon m}{k(2m - (1 - \epsilon)m)} = \frac{\epsilon}{k(1 + \epsilon)}$$

The second inequality is based on the fact that

$$m \geq \frac{1}{2}K, \tag{2}$$

which can be shown by the following simple probabilistic argument: take a random assignment; it satisfies each clause with probability at least  $\frac{1}{2}$ ; there-

fore, the expectation of the number of satisfied clauses is at least  $\frac{1}{2}K$ , and the number of satisfied clauses is greater than or equal to its expectation with positive probability.

Suppose that at step 1(a) the algorithm chooses an assignment that differs from  $S$  by the values of exactly  $n$  variables (this happens with probability  $\frac{\binom{N}{n}}{2^N}$ ). For such initial assignment, the algorithm finds an admissible assignment without choosing a different initial assignment at step 1(a) with probability at least  $p_{k,\epsilon,n} = \left(\frac{\epsilon}{k(1+\epsilon)}\right)^n$ .

Summing over all possible values of  $n$ , we have that the probability of success of local search for one initial assignment is at least

$$\frac{1}{2^N} \sum_{n=0}^N \binom{N}{n} \left(\frac{\epsilon}{k(1+\epsilon)}\right)^n = \left(\frac{1}{2} \left(1 + \frac{\epsilon}{k(1+\epsilon)}\right)\right)^N.$$

Note that for any real  $x > 1$ ,  $\ln(1 - \frac{1}{x}) = -\frac{1}{x} - \frac{1}{2x^2} - \frac{1}{3x^3} - \dots$ , and thus

$$(1 - x)^x \leq \frac{1}{e}. \quad (3)$$

Therefore, by choosing at least  $x = \left(2 - \frac{2\epsilon}{k+\epsilon+k\epsilon}\right)^N = \left(\frac{1}{2} \left(1 + \frac{\epsilon}{k(1+\epsilon)}\right)\right)^{-N}$  initial assignments independently at random, we get the probability of error bounded from above by  $\frac{1}{e}$ .

The bound on the running time is straightforward.  $\square$

**Remark 2** *Note that to obtain any predefined error probability  $\rho < 1$  instead of  $\frac{1}{e}$ , it is sufficient to repeat Algorithm 1  $\lceil -\ln \rho \rceil$  times. For example, the error probability  $\frac{1}{2^{100}}$  is achievable by repeating the algorithm just 70 times.*

### 3 Generalizations and improvements.

#### 3.1 Weighted MAX- $k$ -SAT

A simple modification of our algorithm solves the weighted MAX- $k$ -SAT problem: Instead of picking a random unsatisfied clause uniformly, we pick it with probability proportional to its weight. The probability  $p_{k,\epsilon} = \frac{u-(K-m)}{ku}$  remains the same (though  $u$ ,  $K$ , and  $m$  now denote the total weights and not just the cardinalities of the corresponding sets of clauses). Therefore, the bound on the running time of the algorithm does not change.

### 3.2 Allowing longer local search

Arguments from [40] take into account not only the probability of obtaining the answer by making  $n$  steps in the “right” direction, but also the probability of doing it by making  $i$  steps in the “wrong” and  $i + n$  steps in the “right” direction. To use Schönig’s arguments, step 1(b)(i) should be repeated  $3N$  (and not  $N - 1$ ) times similarly to [40]. Schönig [40] proves the following fact about a random walk on the line.

**Lemma 3 (Schönig, [40])** *Consider the following random walk on  $0, 1, 2, \dots, N$ . A particle starts at state  $n$ . At each step, the particle goes from the current state  $i$  to the state  $i - 1$  with probability  $p$  ( $0 < p < 1$ ), and to the state  $i + 1$  with probability  $1 - p$ . If the particle reaches  $0$ , it remains there forever. From the state  $N$ , the particle goes to  $N - 1$  with probability one. Then the probability to reach  $0$  in at most  $3N$  steps is at least  $(p^{-1} - 1)^{-n}/\text{poly}(N)$ .*

This random walk corresponds to the behaviour of our algorithm: the state  $0$  corresponds to an optimal assignment, and the state  $i$  corresponds to the set of assignments that differ from the optimal assignment in the values of exactly  $i$  variables.

Using this lemma (where  $p = p_{k,\epsilon} = \frac{\epsilon}{k(1+\epsilon)}$ ), the probability  $p_{k,\epsilon,n}$  improves to

$$(p_{k,\epsilon}^{-1} - 1)^{-n}/\text{poly}(N) = \left(\frac{k(1+\epsilon)}{\epsilon} - 1\right)^{-n}/\text{poly}(N) = \left(\frac{\epsilon}{k(1+\epsilon)-\epsilon}\right)^n/\text{poly}(N).$$

Summing over all possible values of  $n$ , we have that the probability of success of local search for one initial assignment is at least

$$\frac{1}{2^N} \sum_{n=0}^N \binom{N}{n} \left(\frac{\epsilon}{k(1+\epsilon)-\epsilon}\right)^n / \text{poly}(N) = \left(\frac{1}{2} \left(1 + \frac{\epsilon}{k(1+\epsilon)-\epsilon}\right)\right)^N / \text{poly}(N).$$

By (3), it suffices to pick only  $\text{poly}(N) \cdot \left(\frac{1}{2} \left(1 + \frac{\epsilon}{k(1+\epsilon)-\epsilon}\right)\right)^{-N} = \text{poly}(N) \cdot \left(2 - \frac{2\epsilon}{k(1+\epsilon)}\right)^N$  initial assignments to get the probability of error bounded from above by  $\frac{1}{e}$ . Hence,  $c_{k,\epsilon}$  can be improved to  $c'_{k,\epsilon} = 2 - \frac{2\epsilon}{k(1+\epsilon)}$  if we modify Algorithm 1 in the following way:

- (1) A longer local search is allowed at step 1(b) (step 1(b)(i) is repeated  $3N$  times instead of  $N - 1$  times).
- (2) The number of initial assignments considered at step 1 is changed to  $\lceil \left(2 - \frac{2\epsilon}{k(1+\epsilon)}\right)^N \rceil$ .

Overall, we obtain the following result.

**Theorem 4** *The modified Algorithm 1 returns an assignment satisfying at least  $(1 - \epsilon)\text{OptVal}(F)$  clauses of the input formula  $F$  with probability at least*



$1 - \frac{1}{e}$ , where  $e = 2.171828 \dots$ . Its worst-case running time is  $\text{poly}(|F|) \cdot (c'_{k,\epsilon})^N$ , where  $c'_{k,\epsilon} = 2 - \frac{2\epsilon}{k(1+\epsilon)} < 2$ , and  $|F|$  denotes the size of the bit representation of the input formula  $F$ .

### 3.3 Better construction for MAX-2-SAT

The MAX-2-SAT part of Yannakakis's MAX-SAT approximation algorithm [42] contains a maximum symmetric flow algorithm which reduces (weighted) MAX-2-SAT to weighted MAX-2E-SAT, i.e. to the instances containing only weighted 2-clauses (and no 1-clauses). More precisely, the following fact is proved.

**Lemma 5 (Yannakakis, [42])** *There is a polynomial-time algorithm that, given a formula  $G$  in 2-CNF, outputs a formula  $F$  in 2E-CNF such that an  $\alpha$ -approximation assignment for  $G$  can be reconstructed in polynomial time from any  $\alpha$ -approximation assignment for  $F$ .*

This allows us to prove the following theorem.

**Theorem 6** *For any  $\epsilon > 0$ , one can construct an algorithm that given a formula  $F$  in 2-CNF returns an assignment satisfying at least  $(1-\epsilon) \text{OptVal}(F)$  clauses of  $F$  with probability at least  $1 - \frac{1}{e}$ , where  $e = 2.171828 \dots$ , and runs in time  $\text{poly}(|G|) \cdot (c''_{2,\epsilon})^N$ , where  $c''_{2,\epsilon} = 2 - \frac{3\epsilon}{1+3\epsilon} < 2$ , and  $|G|$  denotes the size of the bit representation of the input formula  $G$ .*

**PROOF.** Transform the input formula  $G$  into a formula  $F$  in 2E-CNF using Yannakakis's algorithm. Then apply Algorithm 1 modified as described in Subsection 3.2 to  $F$  (but set the number of initial assignments considered at step 1 to  $\lceil (2 - \frac{3\epsilon}{1+3\epsilon})^N \rceil$ ). An admissible assignment for  $G$  can be reconstructed from an admissible assignment for  $F$  using Lemma 5.

A random assignment satisfies every 2-clause with probability  $\frac{3}{4}$ . Therefore, the expected number of clauses of  $F$  satisfied by a random assignment is  $\frac{3}{4}K$ . Thus there is an assignment satisfying at least  $\frac{3}{4}K$  clauses, i.e., the inequality (2) can be made tighter:

$$m \geq \frac{3}{4}K.$$

Thus the bound for  $p_{k,\epsilon}$  in Theorem 1 improves to  $\frac{\epsilon}{2(1/3+\epsilon)}$ . By Lemma 3, the probability  $p_{k,\epsilon,n}$  improves to

$$(p_{k,\epsilon}^{-1} - 1)^{-n} / \text{poly}(N) = \left(\frac{2(1/3+\epsilon)}{\epsilon} - 1\right)^{-n} / \text{poly}(N) = \left(\frac{\epsilon}{2/3+\epsilon}\right)^n / \text{poly}(N).$$

Summing over all possible values of  $n$ , we have that the probability of success of local search for one initial assignment is at least

$$\frac{1}{2^N} \sum_{n=0}^N \binom{N}{n} \left(\frac{\epsilon}{2/3+\epsilon}\right)^n / \text{poly}(N) = \left(\frac{1}{2} \left(1 + \frac{\epsilon}{2/3+\epsilon}\right)\right)^N / \text{poly}(N).$$

By (3), it suffices to pick only

$$\text{poly}(N) \cdot \left(\frac{1}{2} \left(1 + \frac{\epsilon}{2/3+\epsilon}\right)\right)^{-N} = \text{poly}(N) \cdot \left(\frac{1/3+\epsilon}{2/3+\epsilon}\right)^N = \text{poly}(N) \cdot \left(2 - \frac{3\epsilon}{1+3\epsilon}\right)^N$$

initial assignments to get the probability of error bounded from above by  $\frac{1}{e}$ .

The bound on the running time is straightforward.  $\square$

**Remark 7** *The MAX-2-SAT part of Yannakakis's MAX-SAT approximation algorithm has already been used in the context of exponential-time worst-case upper bounds [22]. However, [22] contains an error: Yannakakis' algorithm may introduce clauses with non-integer weights which break the algorithm of [22]. This error is fixed in [21] at the cost of replacing Yannakakis' algorithm by another procedure. Note that for the algorithm of this paper it is not important that Yannakakis' algorithm may introduce non-integer weights and increase the number of clauses.*

## 4 Conclusion

In this paper, we presented the first algorithm that is able to find a  $(1 - \epsilon)$ -approximate solution for the MAX- $k$ -SAT problem in the worst-case time  $p_\rho(|F|) \cdot c_{k,\epsilon}^N$  for any predefined probability of error  $\rho < 1$  and any  $\epsilon > 0$ , where  $N$  is the *number of variables*,  $c_{k,\epsilon} < 2$  is a constant depending only on  $k$  and  $\epsilon$ ,  $|F|$  denotes the size of the bit representation of the input, and  $p_\rho$  is a polynomial whose coefficients depend on  $\rho$ . Before, only such bounds w.r.t. the *number of clauses* were known.

We also proved that for MAX-2-SAT, the constant  $c_{2,\epsilon}$  can be improved to  $c''_{2,\epsilon} = 2 - \frac{3\epsilon}{1+3\epsilon}$ .

Our algorithm can be generalized straightforwardly to the weighted MAX- $k$ -SAT problem. Less obvious applications of our results and open questions related to the subject are listed in the two next subsections.

#### 4.1 Further work

The  $c_{k,\epsilon}^N$ -time  $(1 - \epsilon)$ -approximation algorithm for MAX- $k$ -SAT suggested in this paper may lead to other new exponential-time algorithms for optimization problems. For example, the algorithm and its analysis generalize to MAX- $k$ -CSP<sup>4</sup> similarly to Schönning’s algorithm [40]. Also, combining with the approximation algorithm of [8], the polynomial-time approximation algorithms of [13,28,44], the parametrized bounds of [3], or/and the exact bounds of [3,16] may give some new algorithms and bounds. It would be interesting to compare both theoretically and empirically the behaviour of all these algorithms on various classes of formulas (“long” vs “short”, satisfiable vs almost satisfiable vs having many unsatisfied clauses for every assignment, obtained by reductions of other problems, etc.).

#### 4.2 Open Questions

- (1) Derandomize the MAX- $k$ -SAT algorithm suggested in this paper. Curiously, the derandomization of Schönning’s  $k$ -SAT algorithm suggested in [9,10] does (at least directly) not work for our algorithm.
- (2) Design an algorithm solving MAX-2-SAT *exactly* in  $c^N$  time, where  $c < 2$  is a constant and  $N$  is the number of variables. Note that the same question is still open for SAT but not for  $k$ -SAT.
- (3) Design a polynomial-time local search  $\alpha$ -approximation algorithm for MAX- $k$ -SAT with a good approximation ratio  $\alpha$ . Note that there has been a significant experimental study of local search MAX-SAT algorithms (see, e.g., [5,19,29,39]); moreover, every SAT local search algorithm can be viewed as a MAX-SAT approximation algorithm. However, currently no proof of a good approximation ratio for such an algorithm is known.
- (4) Experimental study [27,37] suggests that in many cases, removing the repeated choice of random initial assignments does not affect the performance of a local search algorithm. Even if the repeated choice is essential, it can be replaced by the random walk extension [27]. However, the proofs of Schönning’s bounds and of the bound shown in this paper heavily rely on (exponentially many of) such restarts. It would be interesting to show that polynomially many (or even a constant number of) restarts are sufficient for obtaining the same worst-case time bound.

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<sup>4</sup> MAX- $k$ -CSP is the problem of finding a solution for a system of  $k$ -constraints. A  $k$ -constraint is a predicate on at most  $k$  variables, where each variable takes values in some finite domain.

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